FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Washington, D.C. 20549

| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|-----------|------------|---------------|-----------|
|           |            |               |           |

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|   | d Address of<br>N JOHN  | Reporting Person* H                                  |          |   |              |  |      |  | ker or Tra       |  | Symbol              |   |                            |   | eck all ap<br>X Dire                                | plicable)<br>ctor                | g Person(s) to I X 10% (   | Owner  |
|---|---|--|----------|---|--------------|--|------|--|------------------|--|---------------------|---|----------------------------|---|---|----------------------------------|--|--|
|   | nst) (First) (Middle) WIN DISC, INC. 28 RACINE ST.                    |  |          | 3. Date of Earliest Transaction (Month/Day/Year) 07/29/2019 |              |  |      |  |                  |  |                     |   |                            | Officer (give title below)  President and CEO                     |   |                                  |  |  |
| (Street) RACINE                                     | W   | I 5  | 53403    |   |              | 4. If Amendment, Date of Original Filed (Month/Day/Year) |      |  |                  |  |                     |   |                            | 6. I<br>Lin   | e)<br><mark>X</mark> Forr                           | n filed by One<br>n filed by Mor | up Filing (Check Applicable<br>one Reporting Person<br>dore than One Reporting |  |
| (City)  | (St   |  | Zip)     |   |              |  |      |  |                  |  |                     |   |                            |   |   |                                  |  |  |
|   |   | Tabl   | e I - No |   |              | _  |      |  | <del>-</del>     | Dis  | posed o             |   |                            |   |   |                                  |  |  |
| 1. Title of Security (Instr. 3)                     |   | 2. Transaction<br>Date<br>(Month/Day/Year)           |          | 2A. Deemed Execution Date, if any (Month/Day/Year)          |              | 3.<br>Transaction<br>Code (Instr.<br>8)                  |      | 4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 |                  |  | (A) or<br>3, 4 and  | 5) Secui<br>Benet<br>Owne   | icially<br>d Following     | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |                                  |  |  |
|   |   |  |          |   |              |  | Code | v  | Amount           | ()<br>()   | N) or<br>D)         | Price   | Report<br>Trans<br>(Instr. | action(s)<br>3 and 4)   |   | (Instr. 4)                       |  |  |
| Common  | Stock <sup>(1)</sup>  |  |          | 07/29   | /2019        |  |      |  | F                |  | 15,743              |   | D                          | \$12.6  | 35 1  | 65,645                           | D  |  |
| Common  | Stock   |  |          |   |              |  |      |  |                  |  |                     |   |                            |   | 2   | 21,156                           | I  | As<br>Trustee <sup>(2)</sup>                                       |
| Common Stock  |   |  |          |   |              |  |      |  |                  |  |                     |   | 2                          | 21,155  | I   | As<br>Trustee <sup>(3)</sup>     |  |  |
| Common  | Stock   |  |          |   |              |  |      |  |                  |  |                     |   |                            |   | 4   | 45,440                           | I  | As<br>Trustee <sup>(4)</sup>                                       |
| Common Stock  |   |  |          |   |              |  |      |  |                  |  |                     |   | 4                          | 14,960  | I   | As<br>Trustee <sup>(5)</sup>     |  |  |
| Common Stock  |   |  |          |   |              |  |      |  |                  |  |                     |   | 45,440                     |   | I   | As<br>Trustee <sup>(6)</sup>     |  |  |
| Common Stock  |   |  |          |   |              |  |      |  |                  |  |                     |   | 2,4                        | 157.2354  | I   | 401(k)                           |  |  |
|   |   | Та   |          |   |              |  |      |  |                  |  | sed of,<br>onvertib |   |                            |   | Owned   |                                  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | Conversion Date (Month/Day/Year) Frice of Derivative |          | ned<br>n Date,  | 4.<br>Transa | 1.<br>Fransaction<br>Code (Instr.                        |      | 5. Number of   |                  | 6. Date Exercis<br>Expiration Date<br>(Month/Day/Yea |                     | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) |                            | str. 3  | 3. Price of<br>Derivative<br>Security<br>Instr. 5)  | derivative<br>Securities         | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4)              | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |          |   | Code         | v  | (A)  | (D)  | Date<br>Exercisa |  | Expiration<br>Date  | Title   |                            | mber<br>ires  |   |                                  |  |  |

## **Explanation of Responses:**

- 1. Shares surrendered to Company to satisfy tax withholding obligation upon vesting of restricted stock granted 7/28/16.
- 2. As trustee of Michael E. Batten Marital Trust
- 3. As trustee of Michael E. Batten Family Trust
- 4. As trustee of Elizabeth Batten Stribney Trust.
- 5. As trustee of Timothy Michael Batten Trust.
- 6. As trustee of Louise Vernet Batten Grantor Trust.

<u>/s/ John H. Batten</u>

\*\* Signature of Reporting Person

Date

07/31/2019

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly.$ 

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.