FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     BATTEN JOHN H					2. Issuer Name <b>and</b> Ticker or Trading Symbol TWIN DISC INC [ TWIN ]								5. Relationship of Reporting (Check all applicable)  X Director			ng Per	10% O	wner
(Last) (First) (Middle) TWIN DISC, INC. 1328 RACINE ST.				3. Date of Earliest Transaction (Month/Day/Year) 08/03/2020							X	Office below	•	EO	Other ( below)	specify		
,	RACINE WI 53403			4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person						
(City)	(Sta		<u>Zip)</u>		<u> </u>													
1. Title of Security (Instr. 3)				2. Transact	2. Transaction		2A. Deemed Execution Date,		ction	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		d (A)	or 5. Amount of		unt of ies cially Following	Form (D) o	: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership
							Code	e V Amount		(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common	Stock <sup>(1)</sup>			08/03/2	2020			F		9,052	D	\$5	.845	21	5,578		D	
Common Stock														2,45	7.2354		I	401(k)
Common Stock														22	1,156			As Trustee <sup>(2)</sup>
Common Stock														22	1,155			As Trustee <sup>(3)</sup>
Common Stock													45,440				As Trustee <sup>(4)</sup>	
Common Stock													44,960		1,960			As Trustee <sup>(5)</sup>
Common Stock													45,440				As Trustee <sup>(6)</sup>	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Dor Exercise (Month/Day/Year) if any		ion Date,	Date, Transaction Code (Instr		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exerc Expiration Da (Month/Day/Y		ite	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		De Se (In	Price of rivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Ownersi Form: Direct (I or Indire (I) (Instr	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A) (D)	Date Exercis	able	Expiration Date	N	r lumb f hare:						

## **Explanation of Responses:**

- 1. Shares surrendered to Company to satisfy tax withholding upon vesting of restricted stock granted 8/2/17.
- 2. As trustee of Michael E. Batten Marital Trust
- 3. As trustee of Michael E. Batten Family Trust
- 4. As trustee of Elizabeth Batten Stribney Trust.
- 5. As trustee of Timothy Michael Batten Trust.
- 6. As trustee of Louise Vernet Batten Grantor Trust.

/s/ John H. Batten 08/05/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.