SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add DOAR MIC	•	ng Person [*]		suer Name and Ticl	0				ationship of Reportir k all applicable) Director	ng Person(s) to 10% (
(Last) 491 DYLAN	(First) DRIVE	(Middle)		te of Earliest Trans 0/2021	action (Month	n/Day/Year)			Officer (give title below)	Other below	(specify)
			4. If A	Amendment, Date o	of Original File	ed (Month/Day	'Year)	6. Indi Line)	vidual or Joint/Grou	p Filing (Check	Applicable
(Street) CARMEL	IN	46032						X	Form filed by On Form filed by Mo Person	1 0	
(City)	(State)	(Zip)									
		Table I - Non-I	Derivative \$	Securities Acc	quired, Dis	posed of,	or Be	neficially	v Owned		
Date		Transaction ate lonth/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	04/30/2021		Α		1,547 ⁽¹⁾	Α	\$10.099	73,426	D		
Table II - Derivative Securities Acquired Disposed of or Beneficially Owned											

able II - Derivative Securities Acquired, Disposed of, or Beneficially Owne (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	Derivative (Month/Day/Year) Securities Acquired (A) or Disposed		7. Titl Amou Secur Under Deriva Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents restricted stock issued in lieu of quarterly cash retainer, pursuant to an irrevocable election made in November 2020 under the Twin Disc, Incorporated 2020 Stock Incentive Plan for Non-Employee Directors. Shares will vest on the first anniversary of the date of issuance.

<u>/s/ Michael Doar</u>

** Signature of Reporting Person Date

05/03/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.